PATENT AT



In The United States Patent And Trademark Office

RE APPLICATION OF:

INVENTOR(S):

Viktors Berstis

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FILED:

10/04/2001 Extracting

TITLE:

Information from

Software

GROUP ART UNIT:

2192

**EXAMINER:** 

Chuck O. Kendall

Docket Number:

AUS920010938US1

Honorable Commissioner For Patents PO Box 1450

Alexandria, Virginia 22313-14500

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Name: Robert V. Wilder Date: July 28, 2006

## TRANSMITTAL OF APPEAL BRIEF

Enclosed herewith is an Appeal Brief for the above-identified application submitted in response to the Notice of Non-Compliance With 37 CFR 41.37 which was mailed 7/5/2006. In a telephone conversation with Ms. Monroe on July 27th, it was determined that Paragraph #2 of the Notice should have been checked instead of Paragraph #3. Also, the "Status of Amendments" paragraph in the Appeal Brief has been corrected pursuant to the above-identified Notice and the corrected Appeal Brief is submitted herewith.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 1 BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES 2 3 Attorney Docket No. AUS920010938US1 4 5 IN RE APPLICATION OF: S 6 § 7 Examiner: Chuck O. Kendall S 8 Viktors Berstis S 9 Art Unit: 2192 S 09/970,655 Serial No. 10 S 11 S October 4, 2001 Filed: 12 S 13 S Extracting Information 14 For: S From Software 15 S 16 17 APPEAL BRIEF 18 19 20 Commissioner for Patents 21 P.O. Box 1450 22 Alexandria, Virginia 22313-1450 23 24 25 26 Sir: 27 This Brief is submitted in support of the Appeal in the above-28 identified application. 29 30 CERTIFICATE OF MAILING 31 37 CFR 1.8(a) 32 I hereby certify that this correspondence is being deposited with the United States Postal Service as First-Class Mail in an 33 envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date below: 34 Robert V. Wilder July 28, 2006 35 36 Signature Date 37 APPEAL BRIEF 38 PAGE 1 OF 28 39 40 Serial Number 09/970,655 41 Attorney Docket No. AUS920010938US1 42 43 08/01/2006 HDESTA1 00000005 090447 09970655

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59	ARGUMENI	
60 61 62 63 64 65 66 67 68 69 70 71 72 73	I. With regard to the rejection of claims 1-3, 5-13 and 1624 under 35 USC 103(a) as being unpatentable over Misra in view of Kobus, it is respectfully submitted that there is no suggestion in either reference for the proposed combination and even the proposed combination cannot render the present invention obvious since even the hypothetical combination of references fails to suggest several of the recited features of the noted claims.  II. With regard to the rejection of claims 4 and 15 under USC 103(a) as being unpatentable over Misra in view of Kobus are in still further view of Doherty, it is respectfully submitted that there is no suggestion in any of the references for the proposed combination and even the proposed combination cannot render the present invention obvious since even the hypothetical combination of references fails to suggest several of the recit	12 35 al
76 77	features of the noted claims	
78 79 80 81 82 83	III. With regard to the rejection of claim 14 under 35 USC 103(a) as being unpatentable over Misra in view of Kobus and in still further view of Nabahi, it is respectfully submitted that there is no suggestion in any of the references for the propose combination and even the proposed combination cannot render the present invention obvious since even the hypothetical combination of references fails to suggest several of the recited features	n Ed Ed
84 85	the noted claim	19

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95 96	REAL PARTY IN INTEREST
97	The present application is assigned to International Business
98	Machines Corporation, the real party in interest.
99	
100	
101	RELATED APPEALS AND INTERFERENCES
102	
103	There are no related Appeals or Interferences currently pending.
104	
105	
106	STATUS OF THE CLAIMS
107	
108	Claims 1-24 are pending and stand finally rejected by the
109	Examiner as noted in the Final Office Action mailed April 6,
110	2006. The rejection of claims 1-24 is hereby being appealed.
111	
112	
113	STATUS OF AMENDMENTS
114	
115	No Amendments have been filed subsequent to the Final Rejection
116	which was mailed on 4/6/06.
117	
118	
119	SUMMARY OF THE CLAIMED SUBJECT MATTER
120	
121	The subject patent application includes independent claims 1, 16
122	and 24, and the remaining claims ultimately depend from and

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- 123 include all of the limitations of one of the independent claims.
- 124 Claim 1 recites a method embodying the present invention, claim
- 125 16 recites a medium embodying the present invention and claim 24
- 126 recites a network embodying the present invention. A concise
- 127 explanation of the claimed subject matter is defined in each of
- 128 the independent claims 1, 16 and 24, which, along with exemplary
- 129 specification and drawing references, is set forth below.

- 131 1. A method for extracting identification information from a
- 132 software package (e.g., inter alia, Figure 5 and Page 11, line
- 133 22, to page 12, line 23), said software package including a
- 134 number of executable software modules (Figure 6, Program Modules
- 135 601, page 12 line 25 to page 13, line 26 and 814 Figure 8)
- 136 organized in a manner (e.g., inter alia, Linked Program Modules
- 137 603, Figure 6) determined by said identification information
- 138 (e.g., inter alia, Figure 5), said method comprising:

139

- 140 determining an organization of said executable software modules
- 141 within said software package (e.g., inter alia, 811, 813 and 814
- 142 Figure 8); and

143

- 144 extracting (e.g., inter alia, 815 Figure 8) said identification
- 145 information (e.g., inter alia, Figure 5 and 605 Figure 6) from
- 146 said organization of said executable software modules (e.g.,
- 147 inter alia, 603 Figure 6) within said software package.

148

- 149 To the combination set forth in claim 1, claim 2 adds the
- 150 recitation that the "executable modules are coupled together

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151	(e.g., inter alia, 603 Figure 6) in a manner representative of
152	said identification information (e.g., inter alia, Figure 5 and
153	605 Figure 6).
154	
155.	To the combination set forth in claim 2, claim 3 adds the
156	recitation that said executable software modules are coupled
157	together by compiling (e.g., inter alia, p9, 127 et seq., p10,
158	127 & 32 et seq., & p11, 17 et seq.) said software modules into
159	an executable form of said software package.
160	
161	To the combination set forth in claim 2, claim 4 adds the
162	recitation that said executable software modules are coupled
163	together by linking (e.g., inter alia, Abstract, line 15; p9,
164	line 27, 31; p10, line 32; p11, 7; p12, lines 7, 19 and 22 et
165	seq.) said executable software modules into an executable form of
166	said software package.
167	
168	To the combination set forth in claim 1, claim 5 adds the
169	recitations of analyzing said software package to determine an
170	organizational relationship among said executable software
171	modules; and determining a binary series (e.g., inter alia,
172	Abstract lines 11-13; p3, line 16 et seq.; p11, line 16 et seq.;
173	p11, line 31 et seq.) from said organizational relationship of
174	said executable software modules.
175	
176	To the combination set forth in claim 1, claim 6 adds the
177	recitation of transmitting said software package over a network
178	(e.g., inter alia, Abstract line 16 et seq.) to a requesting

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179	terminal, said requesting terminal being enabled to extract said
180	identification information from said organization of said
181	executable software modules of said software package.
182	
183	To the combination set forth in claim 6, claim 7 adds the
184	recitation that said software package is transmitted from a user
185	terminal over an Internet network (e.g., inter alia, p2, lines
186	15, 29; Figure 4, 405) to a server (e.g. inter alia, Figure 4,
187	407).
188	
189	To the combination set forth in claim 6, claim 8 adds the
190	recitation that said user terminal is a wireless device (e.g.,
191	inter alia, p5, line 22 et seq.).
192	
193	To the combination set forth in claim 6, claim 9 adds the
194	recitation that said user terminal is a personal computer system
195	(e.g., inter alia, p5, line 22 et seq.).
196	
197	To the combination set forth in claim 1, claim 10 adds the
198	recitation that said identification information includes an
199	identification of a user (e.g., inter alia, p13, line 21 et seq.)
200	of said software package.
201	
202	To the combination set forth in claim 1, claim 11 adds the
203	recitation that said identification information includes an
204	identifying number (e.g., inter alia, p13, line 21 et seq.)
205	related to said software package.
206	

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207	To the combination set forth in claim 11, claim 12 adds the
208	recitation that said identification information further includes
209	an identification of a user (e.g., inter alia, p13, line 20 et
210	seq.) of said software package.
211	
212	To the combination set forth in claim 1, claim 13 adds the
213	recitation that said executable software modules are organized in
214	a series of sets (e.g., inter alia, p13, line 27 et seq.) of
215	executable software modules, each of said sets comprising a
216	predetermined number of executable software modules.
217	
218	To the combination set forth in claim 13, claim 14 adds the
219	recitation that said series of sets corresponds to a binary
220	series, (e.g., inter alia, Abstract lines 11-13; p3, line 16 et
221	seq.; p11, line 16 et seq.; p11, line 31 et seq.) and each of
222	said sets comprises first and second executable software modules,
223	said binary series being determined in accordance with a sequence
224	of said first and second executable software modules within said
225	sets of said executable software modules.
226	
227	To the combination set forth in claim 13, claim 15 adds the
228	recitation that said series of sets is organized in other than a
229	binary format (e.g., inter alia, p9, line 1 et seq.; p11, line 24
230	et seq.), each of said sets comprising a number of said
231	executable software modules other than two, said identification
232	information being determined according to an order in which said
233	number of executable software modules are sequenced within said
23/	sets of executable software modules.

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235	
236	The drawing and specification references of independent claim 16
237	correspond to the similar elements as identified above for
238	independent claim 1.
239	
240	16. A medium including machine readable coded indicia, said
241	machine readable coded indicia being selectively operable in
242	combination with a processing circuit for extracting embedded
243	identification information from a software package (e.g., inter
244	alia, Figure 5 and Page 11, line 22, to page 12, line 23), by
245	determining an organization of executable software modules
246	(Figure 6, Program Modules 601, page 12 line 25 to page 13, line
247	26 and 814 Figure 8) within said software package, wherein
248	relationships between said executable software modules (e.g.,
249	inter alia, Linked Program Modules 603, Figure 6) are
250	representative of said identification information (e.g., inter
251	alia, Figure 5), embedded within said software package.

To the combination set forth in claim 16, claim 17 adds the recitation that said medium is an optically encoded disk (e.g., inter alia, 222 Figure 2).

256

To the combination set forth in claim 16, claim 18 adds the recitation that said medium is a magnetically encoded magnetic diskette (e.g., inter alia, 219 Figure 2).

260

To the combination set forth in claim 16, claim 19 adds the recitation that said software package resides on a storage device

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263	(e.g., inter alia, 218 Figure 2) within a computer device.
264	
265	To the combination set forth in claim 16, claim 20 adds the
266	recitation that the software package resides on a memory device
267	(e.g., inter alia, 207 Figure 2) within a computer device.
268	
269	To the combination set forth in claim 16, claim 21 adds the
270	recitation that said embedded identification information includes
271	an identification of a user (e.g., inter alia, p13, line 20 et
272	seq.) of said software package.
273	
274	To the combination set forth in claim 16, claim 22 adds the
275	recitation that said embedded identification information includes
276	an identifying number (e.g., inter alia, p13, line 21 et seq.)
277	related to said software package.
278	
279	To the combination set forth in claim 22, claim 23 adds the
280	recitation that said embedded identification information further
281	includes an identification of a user (e.g., inter alia, p13, line
282	20 et seq.) of said software package.
283	
284	The drawing and specification references of independent claim 24
285	correspond to the similar elements as identified above for
286	independent claims 1 and 16.
287	
288	24 A network arranged to enable extracting of organizational

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information of an organization of executable software modules

(Figure 6, Program Modules 601, page 12 line 25 to page 13, line

289

290

291	26 and 814 Figure 8) within a software package (e.g., inter alia,
292	Figure 5 and Page 11, line 22, to page 12, line 23), at a user
293	terminal and transferring said organizational information to a
294	server for use in deriving identification information embedded
295	within said organizational information, said network comprising:
296	
297	a user terminal (e.g., inter alia, 401, Figure 4) at which said
298	software package resides;
299	
300	a server (e.g., inter alia, 407, Figure 4); and
301	
302	an interconnection (e.g., inter alia, 403 and 405, Figure 4)
303	between said server and said user terminal, said user terminal
304	being responsive to a request to upload said organizational
305	information of said software package for determining said
306	organizational information and transferring said organizational
307	information to said server (e.g., inter alia, 811 and 813 Figure
308	8).
309	
310	GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL
311	
312	I. Claims 1-3, 5-13 and $16-24$ were rejected under 35 USC $103(a)$
313	as being unpatentable over Misra (U.S. Patent 6,189,146 B1) in
314	view of Kobus (U.S. Patent 4,864,494);
315	
316	II. Claims 4 and 15 were rejected under 35 USC 103(a) as being
317	unpatentable over Misra in view of Kobus, and still in further
318	view of Doherty et al (U.S. Patent 6,920,567 B1); and

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320 III. Claim 14 was rejected under 35 USC 103(a) as being

321 unpatentable over Misra in view of Kobus, and still in further

322 view of Nabahi (U.S. Patent 6,006,035).

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#### 325 ARGUMENT

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I. With regard to the rejection of claims 1-3, 5-13 and 16-327 24 under 35 USC 103(a) as being unpatentable over Misra in view 328 of Kobus, it is noted that the present invention provides a means 329 by which software identification information, such as a user name 330 or software package serial number, is extracted from a software 331 package by determining the manner in which executable software 332 modules are organized in the software package. With the present 333 invention, user identification or the serial number 334 identification, for example, of a particular software package, 335 may be ascertained by the manner in which the software package 336 executable modules are arranged. In one example, the 337 identification information is represented in binary format, i.e. 338 a series of "1's" and "0s", and that identification information 339 is applied to the sequencing of executable software modules in a 340 software package such that one sequence of executable software 341 modules represents a binary "one" while another sequence of 342 executable software modules represents a binary "zero". Thus by 343 determining the relative sequencing of the executable software 344 modules (rather than, for example, accessing a data file), one is 345 enabled to re-assemble the binary identification information 346

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348	example, the licensed owner of the software package and/or the
349	serial number of the software package. Formats other than a
350	binary format may also be implemented.
351	
352	As stated in applicant's specification, "instead of including
353	user information in a separate code segment of the download, the
354	transaction information is included in the structure or
355	organization of the downloaded code or data. Every software
356	package consists of code blocks, data areas, subroutines, methods
357	and other such subcomponents. After a requesting user has
358	furnished the requested information and agreed to the terms of a
359	license agreement, the website will compile and link the various
360	components of the software package together to form an executable
361	module which is then downloaded to the user. Normally, when the
362	various components of the software package are linked together to
363	form the executable module, the exact order of placement or
364	sequence of the components is usually not critical for the proper
365	execution of the software. In accordance with the present
366	invention however, the ordering and/or sequence of those
367	components and/or sub-components is used to encode selected
368	transaction information such that this encoded information can
369	later be extracted from the licensed software and copies of the
370	licensed software in the downloaded executable form. Thus, the
371	ordering or sequence of the software package components is used
372	to encode a serial number for the licensed software package as
373	well as other useful information. The embedded information can be
374	checked at a later time to determine if the software or data have

which is embedded into the software package and determine, for

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75	been tampered with or if the usage pattern leads to suspicions
375	
376	about illegal copying. The embedded information can then be used
377	to track down the source of the illegal copies".
378	
379	With specific regard to the rejection of to the rejection of
380	claims 1-3, 5-13 and 16-24 under 35 USC 103(a) as being
381	unpatentable over Misra in view of Kobus,, it is noted that Misra
382	discloses a software licensing system which includes a license
383	generator located at a licensing clearinghouse and at least one
384	license server and multiple clients located at a company or
385	entity. To prevent a license pack from being copied and installed
386	on multiple license servers, the license generator assigns a
387	unique license pack ID with the particular license server in a
388	master license database kept at the licensing clearinghouse. To
389	prevent an issued license from being copied from one client
390	machine to another, the software license is assigned to a
391	specific client by including a client ID within the license, i.e.
392	the identity of the client is typed into the license agreement.
393	The software license also has a license ID that is associated
394	with the client ID in a database record kept at the license
395	server. There is no mention or suggestion anywhere in Misra of
396	extracting ID information by determining an organization of the
397	executable software modules within a software package.
398	
399	To support the allegation that Misra anticipated the present
400	invention, specifically to support the alleged anticipation of
401	the claim language "determining an organization of said software
402	modules within said software package" (emphasis added), the

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403	Examiner had cited column 6, lines 25-35 of Misra in which the
404	following language appears: "The certifying authority performs a
405	verification analysis of the organization to verify that it is a
406	real entity and that the identification information is true and
407	accurate" (emphasis added). In the cited Misra reference, just
408	above the quoted reference, in column 6 line 31, it is stated
409	that "The entity or organization that owns, or is responsible
410	for, the license server 28 registers itself with an independent
411	certifying authority that is trusted by both the organization and
412	the clearinghouse" (emphasis added).
413	

It is submitted that an "organization", meaning a company, 414 corporation or other entity, does not and cannot suggest in any 415 possible way the use of the "organization" (or arrangement) of 416 executable software modules in a software package. 417

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Although a word search for the word "organization" apparently returned the Misra reference, the resulting Misra reference was applied without due consideration of the different contexts and meanings for the word "organization". The cited Misra reference and the present application use two different meanings for the word "organization" and one has nothing to do with the other, much less does Misra's use of the word "organization" (e.g. a corporate entity) provide any basis which could possibly be used to render obvious the use of the "organization" (e.g. an arrangement or sequence) of executable software modules to extract information, such as user ID or program serial number, from a software program.

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In another language reference to Misra which is relied upon in 432 citing Misra as using the term "organization", column 12, lines 433 13-15 of Misra states that "The licenses are organized in the 434 license cache 136 according to information about the license 435 issuing authority and product ID (emphasis added)". This language 436 in Misra clearly refers to listing licenses in a cache by issuing 437 authority i.e. all from one authority get listed together before 438 those from another authority. Listing licenses in a database or 439 cache by entities, either alphabetically or otherwise, has 440 nothing to do with organizing or arranging executable software 441 modules in a software package to embed information about the 442 software package whereby such information can be extracted by 443 analysis of the order or sequence of the executable modules 444 within the software package as is claimed by the applicant. 445

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Misra does not extract software package identification information from the manner in which executable software modules in the software package are arranged or organized. With the present invention, the arrangement of executable software modules within the software package contains the information needed to re-assemble the user identification information of the software package. Misra, instead, maintains the software ID information in a database (Abstract, 2:40, 2:50, 3:19, etc.) and not in an arrangement of the executable software modules in a software package. Thus, it is submitted that the Misra reference fails as a reference for disclosing or even suggesting the extraction of information from the mere organization or arrangement of executable software modules in a software program.

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460	The Kobus reference is similar to Misra in that there is no
461	teaching or even suggestion for determining an organization of
462	
463	executable software modules within a software package and
464	extracting identification information from the organization of
465	executable software modules within the software package, as is
466	clearly recited in all of the pending independent claims 1, 16
467	and 24. Kobus, which was cited for the first time in the Final
468	Office Action mailed 4/6/2006, discloses a system that includes
469	an encrypted security message uniquely encoded at predetermined
470	locations within a software or program function. The software
471	includes pre-set errors to cause failure of execution of the
472	function unless the errors are nulled during the operation of the
473	program. Kobus nowhere even suggests determining an organization
	· · · · · · · · · · · · · · · · · · ·
474	of executable software modules within a software package and
474 475	of executable software modules within a software package and extracting identification information from the organization of
475	extracting identification information from the organization of
475 476	extracting identification information from the organization of the executable software modules within said software package as
475 476 477	extracting identification information from the organization of the executable software modules within said software package as
475 476 477 478	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.
475 476 477 478 479	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either
475 476 477 478 479 480	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either reference for the hypothetical combination of Misra and Kobus
475 476 477 478 479 480 481	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either reference for the hypothetical combination of Misra and Kobus since each reference accomplishes a different function in a
475 476 477 478 479 480 481 482	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either reference for the hypothetical combination of Misra and Kobus since each reference accomplishes a different function in a different manner. i.e. Misra teaches the maintenance of a client
475 476 477 478 479 480 481 482 483	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either reference for the hypothetical combination of Misra and Kobus since each reference accomplishes a different function in a different manner. i.e. Misra teaches the maintenance of a client identification in a database while Kobus teaches a method of
475 476 477 478 479 480 481 482 483 484	extracting identification information from the organization of the executable software modules within said software package as is clearly stated in the independent claims 1, 16 and 24.  Further, it is submitted that there is no suggestion in either reference for the hypothetical combination of Misra and Kobus since each reference accomplishes a different function in a different manner. i.e. Misra teaches the maintenance of a client identification in a database while Kobus teaches a method of preventing an operation of a software program without first

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488	a software package as is clearly recited in all of the
489	independent claims and also, through dependence, in the dependent
490	claims as well.
491	
492	Thus, it is submitted that there is no basis in either reference
493	for the hypothetical combination of Misra and Kobus and further,
494	that since neither Misra nor Kobus either discloses or suggests
495	determining an organization of executable software modules within
496	a software package and extracting identification information from
497	the organization of the executable software modules within said
498	software package, it is submitted that even the hypothetical
499	combination of Misra and Kobus fails to render the present
500	invention as stated in the pending independent claims 1, 16 and
501	24 and related dependent claims 2-3, 5-13 and 17-23 obvious under
502	35 USC 103(a).
503	
504	II. With regard to the rejection of claims 4 and 15 under 35 USC
505	103(a) as being unpatentable over Misra in view of Kobus and in
506	still further view of Doherty, it is noted that claims 4 and 15
507	are dependent from, and include all of the limitations of claim 1
508	as well as the further limitations of the intermediate dependent
509	claims. Doherty also maintains ID information in a database and
510	not embedded in the software package by the manner in which the
511	executable modules of the software package are organized as
512	claimed by the applicant. Doherty discloses a digital content
513	file (DCF) including a license control mechanism controlling the
514	licensed use of digital content and a system and method for
515	distributing licensable digital content files and licenses. The

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516	file access control mechanism includes a license monitor and
517	control mechanism communicating with a dynamic license database
518	and controlling use of the digital content and a license control
519	utility providing communications between a user system and an
520	external system to communicate license definition information and
521	includes a graphical user interface. The license information of
522	Doherty may be stored initially in the dynamic license database
523	or provided from an external system. With the present invention,
524	the license information is embedded in the arrangement of the
525	executable software modules of the software package not in a
526	dynamic license database or provided from an external system as
527	specified in Doherty. Thus, since neither Misra, nor Kobus nor
528	Doherty, or even a hypothetical combination of all three
529	references, shows or even suggests extracting information by
530	determining an organization of the executable software modules
531	within a software package as is disclosed and claimed by the
532	applicant, it is submitted that claims 4 and 15 are allowable
533	under 35 USC 103(a) over even the hypothetical combination of
534	Misra, Kobus and Doherty.
535	
536	III. With regard to the rejection of claim 14 under 35 USC 103(a)
537	as being unpatentable over Misra in view of Kobus and in still
538	further view of Nabahi, it is noted that Nabahi was cited against

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dependent claims merely to allegedly show the use of a binary

a binary format as used by the applicant, nor the use of

extracted binary formatted organizational information to

format. Applicant notes that Nabahi discloses neither the use of

determine identification information associated with a software

544	package. Thus, since neither Misra, nor Kobus nor Nabahi, or even
545	a hypothetical combination of all three references, shows or even
546	suggests extracting information by determining an organization of
547	the executable software modules within a software package as is
548	disclosed and claimed by the applicant, it is submitted that
549	claim 14 is allowable under 35 USC 103(a) over even the
550	hypothetical combination of Misra, Kobus and Nabahi.
E E 1	

CONCLUSION

conclude that the rejections of claims 1-3, 5-13 and 16-24 under

35 USC 103(a) as being unpatentable over Misra in view of Kobus,

For the reasons stated above, applicant urges the Board to

and the rejections of claims 4 and 15 under 35 USC 103(a) as

being unpatentable over Misra in view of Kobus, and still in

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further view of Doherty et al, and the rejection of claim 14 under 35 USC 103(a) as being unpatentable over Misra in view of

Kobus, and still in further view of Nabahi, are not well-founded

and should be reversed.

Please charge IBM Corporation Deposit Account No. 09-0447 in the amount of \$500.00 for submission of a Brief in Support of Appeal.

No additional fee or extension of time is believed to be 567 required; however, in the event an additional fee or extension of

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above-identified deposit account.

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time is required, please charge the fee, as well as any other fee

necessary to further the prosecution of this application, to the

572	
573	Respectfully submitted,
574	
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576	
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582 583	<u>CLAIMS APPENDIX</u>
584	1. A method for extracting identification information from a
585	software package, said software package including a number of
586	executable software modules organized in a manner determined by
587	said identification information, said method comprising:
588	
589	determining an organization of said executable software modules
590	within said software package; and
591	
592	extracting said identification information from said organization
593	of said executable software modules within said software package.
594	
595	2. The method as set forth in claim 1 wherein said executable
596	software modules are coupled together in a manner representative
597	of said identification information.
598	
599	3. The method as set forth in claim 2 wherein said executable
600	software modules are coupled together by compiling said software
601	modules into an executable form of said software package.
602	
603	4. The method as set forth in claim 2 wherein said executable
604	software modules are coupled together by linking said executable
605	software modules into an executable form of said software
606	package.
607	a de la la desta de la companya de l
608	5. The method as set forth in claim 1 and further including:
609	
610	analyzing said software package to determine an organizational

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611	relationship among said executable software modules; and
612	
613	determining a binary series from said organizational relationship
614	of said executable software modules.
615	
616	6. The method as set forth in claim 1 and further including
617	transmitting said software package over a network to a requesting
618	terminal, said requesting terminal being enabled to extract said
619	identification information from said organization of said
620	executable software modules of said software package.
621	
622	7. The method as set forth in claim 6 wherein said software
623	package is transmitted from a user terminal over an Internet
624	network to a server.
625	
626	8. The method as set forth in claim 6 wherein said user terminal
627	is a wireless device.
628	
629	9. The method as set forth in claim 6 wherein said user terminal
630	is a personal computer system.
631	
632	10. The method as set forth in claim 1 wherein said
633	identification information includes an identification of a user
634	of said software package.
635	
636	11. The method as set forth in claim 1 wherein said

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identification information includes an identifying number related

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to said software package.

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- 640 12. The method as set forth in claim 11 wherein said
- 641 identification information further includes an identification of
- 642 a user of said software package.

- 644 13. The method as set forth in claim 1 wherein said executable
- 645 software modules are organized in a series of sets of executable
- 646 software modules, each of said sets comprising a predetermined
- 647 number of executable software modules.

648

- 649 14. The method as set forth in claim 13 wherein said series of
- 650 sets corresponds to a binary series, and each of said sets
- 651 comprises first and second executable software modules, said
- 652 binary series being determined in accordance with a sequence of
- 653 said first and second executable software modules within said
- 654 sets of said executable software modules.

655

- 656 15. The method as set forth in claim 13 wherein said series of
- 657 sets is organized in other than a binary format, each of said
- 658 sets comprising a number of said executable software modules
- other than two, said identification information being determined
- 660 according to an order in which said number of executable software
- 661 modules are sequenced within said sets of executable software
- 662 modules.

663

- 664 16. A medium including machine readable coded indicia, said
- 665 machine readable coded indicia being selectively operable in
- 666 combination with a processing circuit for extracting embedded

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667	identification information from a software package by determining
	an organization of executable software modules within said
669	software package, wherein relationships between said executable
	software modules are representative of said identification
	information embedded within said software package.

17. The medium as set forth in claim 16 wherein said medium is an optically encoded disk.

675

18. The medium as set forth in claim 16 wherein said medium is a magnetically encoded magnetic diskette.

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679 19. The medium as set forth in claim 16 wherein said software 680 package resides on a storage device within a computer device.

681

682 20. The medium as set forth in claim 16 wherein software package resides on a memory device within a computer device.

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21. The medium as set forth in claim 16 wherein said embedded identification information includes an identification of a user of said software package.

688

22. The medium as set forth in claim 16 wherein said embedded identification information includes an identifying number related to said software package.

692

693 23. The medium as set forth in claim 22 wherein said embedded 694 identification information further includes an identification of

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695	a user of said software package.
696	
697	24. A network arranged to enable extracting of organizational
698	information of an organization of executable software modules
699	within a software package at a user terminal and transferring
700	said organizational information to a server for use in deriving
701	identification information embedded within said organizational
702	information, said network comprising:
703	
704	a user terminal at which said software package resides;
705	
706	a server; and
707	
708	an interconnection between said server and said user terminal,
709	said user terminal being responsive to a request to upload said
710	organizational information of said software package for
711	determining said organizational information and transferring said
712	organizational information to said server.

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713						EV	IDENCE	APPENDIX
714								
715	There	are	no	items	in	this	Append	dix.

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716					REL	ATED	PROCEEDINGS	APPENDIX
717								
718	There	are	no	items	in	this	Appendix.	

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